

## **Wiltshire Council Strategic Risk Register**

### **Q3 2018/19**

There are significant challenges for Wiltshire Council as it looks to build stronger communities, grow the county's economy and protect the vulnerable. The Strategic Risk Register reflects these challenges.

The Strategic Risk Register draws together information recorded on risk registers of individual services across Wiltshire Council.

Information that has significance across the council as a whole is displayed in two categories on the Strategic Risk Register.

1. Critical service risks: significant risks that sit in a single service but which, should they become an issue, will have a significant impact on the council as a whole.

2. Composite strategic risks: where similar risks exist in a number of different services which would not have a significant impact on the organisation on their own but put together represent a significant impact. These risks are compiled into a single strategic composite risk and included within the strategic risk register. These risks are scored by reviewing the service component risks.

Each risk is fully defined by the responsible service (who assess the cause, event and effect that make up the identified risk).

Each risk is scored for impact and likelihood to give an overall score. A risk is scored twice; firstly, as inherent (the current level of risk) and then as residual (the risk as it would be once all mitigating actions are in place).

The progress towards implementing mitigating actions is assessed as red, amber or green. This RAG guides the reader of the register to understand the true current risk.

A whole range of service risks are kept under observation each quarter.

Risk short name	Primary Risk Category	Secondary Risk Category	Owner	Key officers	Q3 Inherent Impact	Q3 Inherent Likelihood	Q3 Inherent Risk Rating	Q3 DoT	Q3 Actions RAG	Q3 Residual Impact	Q3 Residual Likelihood	Q3 Res Risk Rating	Q3 Comments
<b>Critical Service Risks</b>													
Safeguarding Children	Service Delivery	Reputation	Terence Herbert	Lucy Townsend	4	3	12	▶	Amber	4	2	8	Performance and Outcomes Board chaired by Terence Herbert oversees this risk. There is an action plan in place which is reviewed on a fortnightly basis by the Performance and Outcomes Group chaired by the Head of Service, and monthly by the Senior Management Team meetings chaired by the Director.
Safeguarding Adults	Service Delivery	Reputation	Emma Legg	Emma Townsend	4	2	8	▶	Amber	4	1	4	Ongoing focus on safeguarding issues and a multi agency approach mean that the likelihood of issues arising is being reduced as far as is possible.
Failure to revive Salisbury's economy	Reputation		Alistair Cunningham	Tom Dobrashian	3	3	9	▶	Green	3	2	6	The council's focus is now on long-term economic recovery. Progress is underway on the detail of the recovery plan. The Economic Strategy presents four areas critical to achieving long term success in the economic development of Salisbury and the surrounding area and it is envisaged that the long-term recovery plan will build on existing/ opportunities to reinvigorate the high-street sector in both Salisbury and Amesbury, develop and enhance the cultural offer, transform perception of the area and stimulate new growth across South Wiltshire.
Interest Rates Changes	Financial	Reputation	Becky Hellard	Matthew Tiller	3	2	6	New Risk	Amber	2	2	4	Borrowing levels for the council are fixed. Potential impact on future schemes and new delivery models. Current borrowing rates are low and the interest on investment out weighs risk on borrowings.
Future Local Government finance funding	Financial	Reputation	Becky Hellard	Matthew Tiller	2	4	8	New Risk	Green	2	4	8	There remains uncertainty. Wiltshire will participate in expected government consultations.

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<b>Composite Corporate Risks</b>													
Staff capacity: Recruitment and Retention	Staffing/ People	Service Delivery	Joanne Pitt	Steve Perry	3	2	6	▶	Green	3	2	6	Ongoing controls include increased staff engagement, promoting Wiltshire Council as an employer, specific strategies in areas of high concern. A new staff survey is underway and will help guide further mitigation.
Budget management	Financial	Reputation	Becky Hellard	Matthew Tiller	3	3	9	▶	Amber	3	2	6	Risk area under close review with monthly monitoring. RAG rating on savings plans. Discussed with CLT and budget managers. Changes included in update to financial plan to be adopted Feb 2019
Income Collection	Financial	Reputation	Becky Hellard	Matthew Tiller	3	3	9	New risk	Amber	3	2	6	Regular review of income collection vs budgets included in regular monitoring review
Contract monitoring and management (Composite Risk)	Service delivery	Financial	Becky Hellard	Wayne Welsby	4	2	8	▶	Amber	4	2	8	Contract management across the council is supported by an easily accessible framework and toolkit. Council contract worth over £25,000 per annum are kept under review with risks and mitigations discussed with services.
Corporate Health, Safety & Wellbeing	Health & Safety		Paul Collyer		2	2	4	▶	Amber	2	2	4	SWAP audit announced of H&S processes will help ensure controls in place.
Information Governance	Reputation	Financial	Robin Townsend	Liz Creedy	3	2	6	▶	Green	3	1	3	Awareness significantly raised through training and ensuring follow up actions taken as required.
Uncertainty over the type and timing of UK's exit from the EU.	Service delivery	Financial	Terence Herbert		4	3	12	New risk	Amber	3	2	6	The council is dealing with potential impacts on resource as additional planning is required. Actions include lobbying national government, planning for the worst eventualities and supporting partners and business across Wiltshire. These actions fall across several of Wiltshire Council's services.